2 5 2005 Confirmation No.: 5572

HW

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Henry S. Chao, et al.

Application No.: 10/705,192

Filed:

November 10, 2003

For: A METHOD FOR MANUFACTURING HIGH DENSITY FLASH MEMORY AND HIGH PERFORMANCE LOGIC

ON A SINGLE DIE

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Examiner: Harrison, Monica D.

Art Unit: 2813

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop: Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 21, 2005 (Date of Deposit)

Dianne Neathery

(Typed or printed name of person mailing correspondence)

(Signature of person mailing oprrespondence)

Appl. No.: 10/705,192 Filing Date: November 10, 2003 LJV/cak (10/27/04) Atty. Docket:42P17266

- 1/2 -

Pursuant to 37 C.F.R. § 1.97, the submission of this Information

Disclosure Statement is not to be construed as a representation that a search

has been made and is not to be construed as an admission that the information

cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

	37 C.F.R. §1.97(b).
X	37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is <u>one</u> of the following:
X	_ A statement pursuant to 37 C.F.R. §1.97(e) <u>or</u>
	A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
	37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
	(1) A statement pursuant to 37 C.F.R. §1.97(e); and

for submission of the Information Disclosure Statement.

A check for \$180.00 for the fee under 37 C.F.R. §1.17(p)

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: July 21, 2005

(2)

Michael A. Bernadicou Reg. No. 35,934

2400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

Appl. No.: 10/705,192

Filing Date: November 10, 2003

- 2/2 - LJV/cak (10/27/04) Atty. Docket:42P17266 torney ocket No. 42P17266 Confidention No.: 5572

PATENT

Examiner: Harrison, Monica D.

2813

Art Unit:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In	Re	Дp	plica	tion	of:
		· -	P		

Henry S. Chao, et al.

Application No.: 10/705,192

Filed:

November 10, 2003

For: A METHOD FOR MANUFACTURING HIGH DENSITY FLASH MEMORY

AND HIGH PERFORMANCE LOGIC ON A SINGLE DIE

Mail Stop: Amendment Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

STATEMENT UNDER 37 C.F.R. §1.97(e)

Sir:

I hereby certify (as indicated by an "X" to the left of the appropriate paragraph):

Χ	

That each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Application No.: 10/705,192 -1 - Docket No.: 42P17266

That no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned attorney after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in §1.56(c) more than three months prior to the filing the Information Disclosure Statement.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: July 21, 2005

Michael A. Bernadicou Reg. No. 35,934

12400 Wilshire Blvd. Seventh Floor Los Angeles, CA 90025 (408) 720-8300

Cubatituta	for Form 144	O/DTO			Complete	if Known
Substitute for Form 1449/PTO P E P FORMATION DISCLOSURE					Application Number	10/705,192
\0\·	' Θ'				Filing Date	November 10, 2003
\ 30" \ \=\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \					First Named Inventor:	Henry S. Chao, et al.
					Art Unit	2813
					Examiner Name	Harrison, Monica D.
Sheet & T	AADE 1		of	1	Attorney Docket Number	42P17266
			U.S. PATEN	T DOCUMENTS		****
Examiner Initials*	Cite No.1	Numb	Document Number er-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-	6,235,587	05-22-2001	Hsaio, Tommy C. et al.	
		US-	6,417,086	07-09-2002	Osari, Kanji	
		US-				
, .		US-				
		US-				
		US-				
		US-				

		FO	REIGN PATEN	IT DOCUMENTS		
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T°
		EP 1 156 524 A1	11-21-2001	STMicroelectronics, S.R.L.		

	NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²	
		PCT Search Report, International Application No. PCT/US2004/036096, filing date October 29, 2004, mailed April 21, 2005 (9 pages)		

Examiner	Date	
Signature	Considered	

^{*}Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.